

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/841,710	04/24/2001	Takeshi Ishizaki	36992.00077

CONFIRMATION NO. 6270

FORMALITIES LETTER



OC00000006211730

SQUIRE SANDERS & DEMPSEY L.L.P.
 600 Hansen Way
 Palo Alto, CA 94304

Date Mailed: 06/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/29/2001 AWONDAF1 00000044 050150 09841710

01 FC:105 130.00 CH



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner: Unknown

Takeshi Ishizaki, et al.

Serial No. 09/841,710

Art Unit: 2151

Filed: April 24, 2001.

Title: Integrated Service Management System

Commissioner for Patents
Washington, DC 20231

AB

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Dear Sir:

In response to the Notice to File Notice to File Missing Parts of Nonprovisional Papers mailed on June 21, 2001 in the above-identified application, enclosed herewith are the following:

- 1) Copy of Notice to File Missing Parts of Nonprovisional Application;
- 2) Declaration for Utility Patent Application for Takeshi Ishizaki and Shigeru Miyake;
- 3) Petition to Request Extension of Time
- 4) Power of Attorney;
- 5) Transmittal Form; and
- 6) Fee Transmittal (in duplicate)

If the Examiner has any questions or needs additional information, the Examiner is invited to telephone the undersigned attorney at (650) 856-6500.

If for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit Account No. 05-0150. A duplicate of this communication is enclosed.

Respectfully submitted,
Takeshi Ishizaki, et al.

Date: August 23, 2001

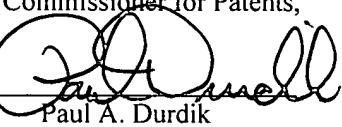
By 

SQUIRE, SANDERS & DEMPSEY L.L.P.
600 Hansen Way
Palo Alto, California 94304-1043
(650) 856-6500

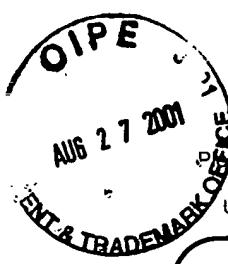
Paul A. Durdik
Attorney for Applicant
Reg. No. 37,819

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on

Date: August 23, 2001 By: 

Paul A. Durdik



SECTOR
\$

Please type a plus sign (+) inside this box →

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

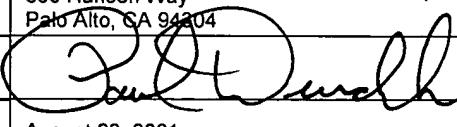
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/841,710
		Filing Date	April 24, 2001
		First Named Inventor	Takeshi Ishizaki
		Group Art Unit	2151
		Examiner Name	Unknown
Total Number of Pages in This Submission	10	Attorney Docket Number	36992.00077 (HAL 187)

#3

ENCLOSURES (check all that apply)

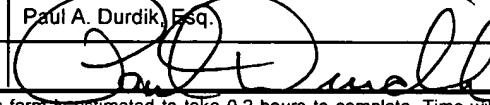
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Declaration of Takeshi Ishizaki and Shigeru Miyake; Copy of Notice to Missing Parts; Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Paul A. Durdik, Esq., Reg. No.: 37,819 Squire, Sanders & Dempsey L.L.P. 600 Hansen Way Palo Alto, CA 94304
Signature	
Date	August 23, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name	Paul A. Durdik, Esq.	Date	August 23, 2001
Signature		Date	August 23, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001



Patent fees are subject to annual revision.

AMOUNT OF PAYMENT

(\$ 240)

Complete if Known	
Application Number	09/841,710
Filing Date	April 24, 2001
First Named Inventor	Takeshi Ishizaki
Examiner Name	Unknown
Group / Art Unit	2151
Attorney Docket No.	36992.00077 (HAL 187)

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																				
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <input type="text" value="05-0150"/></p> <p>Deposit Account Name <input type="text" value="Squire, Sanders & Dempsey LLP"/></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Fee Code</th> <th style="text-align: left;">Large Entity Fee (\$)</th> <th style="text-align: left;">Small Entity Fee Code (\$)</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65 Surcharge - late filing fee or oath</td><td style="text-align: right;">130</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25 Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130 Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520 For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55 Extension for reply within first month</td><td style="text-align: right;">110</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195 Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445 Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695 Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945 Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155 Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135 Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55 Petition to revive – unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620 Petition to revive – unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220 Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300 Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130 Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>130</td><td>123</td><td>130 Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180 Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40 Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355 For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355 Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900 Request for expedited examination of a design application</td><td></td></tr> <tr> <td colspan="4" style="text-align: center;">SUBTOTAL (1) <input type="text" value="(\$ 0)"/></td> <td colspan="4" style="text-align: center;">Other fee (specify) _____</td> </tr> <tr> <td colspan="4"> <p>2. EXTRA CLAIM FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Total Claims</th> <th style="text-align: left;">Extra Claims</th> <th style="text-align: left;">Fee from below</th> <th style="text-align: left;">Fee Paid</th> </tr> </thead> <tbody> <tr><td><input type="text"/></td><td><input type="text" value="0"/></td><td><input type="text"/></td><td><input type="text"/></td></tr> <tr> <td>Independent Claims</td> <td><input type="text"/></td> <td><input type="text" value="0"/></td> <td><input type="text"/></td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td><input type="text"/></td> <td><input type="text" value="0"/></td> </tr> </tbody> </table> </td> <td colspan="4"> <p style="text-align: center;">SUBTOTAL (2) <input type="text" value="(\$)"/></p> <p>*Reduced by Basic Filing Fee Paid SUBTOTAL (3) <input type="text" value="(\$ 240)"/></p> </td> </tr> </tbody> </table>				Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65 Surcharge - late filing fee or oath	130	127	50	227	25 Surcharge - late provisional filing fee or cover sheet.		139	130	139	130 Non-English specification		147	2,520	147	2,520 For filing a request for reexamination		112	920*	112	920* Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840* Requesting publication of SIR after Examiner action		115	110	215	55 Extension for reply within first month	110	116	390	216	195 Extension for reply within second month		117	890	217	445 Extension for reply within third month		118	1,390	218	695 Extension for reply within fourth month		128	1,890	228	945 Extension for reply within fifth month		119	310	219	155 Notice of Appeal		120	310	220	155 Filing a brief in support of an appeal		121	270	221	135 Request for oral hearing		138	1,510	138	1,510 Petition to institute a public use proceeding		140	110	240	55 Petition to revive – unavoidable		141	1,240	241	620 Petition to revive – unintentional		142	1,240	242	620 Utility issue fee (or reissue)		143	440	243	220 Design issue fee		144	600	244	300 Plant issue fee		122	130	122	130 Petitions to the Commissioner		123	130	123	130 Petitions related to provisional applications		126	180	126	180 Submission of Information Disclosure Stmt		581	40	581	40 Recording each patent assignment per property (times number of properties)		146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))		179	710	279	355 Request for Continued Examination (RCE)		169	900	169	900 Request for expedited examination of a design application		SUBTOTAL (1) <input type="text" value="(\$ 0)"/>				Other fee (specify) _____				<p>2. EXTRA CLAIM FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Total Claims</th> <th style="text-align: left;">Extra Claims</th> <th style="text-align: left;">Fee from below</th> <th style="text-align: left;">Fee Paid</th> </tr> </thead> <tbody> <tr><td><input type="text"/></td><td><input type="text" value="0"/></td><td><input type="text"/></td><td><input type="text"/></td></tr> <tr> <td>Independent Claims</td> <td><input type="text"/></td> <td><input type="text" value="0"/></td> <td><input type="text"/></td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td><input type="text"/></td> <td><input type="text" value="0"/></td> </tr> </tbody> </table>				Total Claims	Extra Claims	Fee from below	Fee Paid	<input type="text"/>	<input type="text" value="0"/>	<input type="text"/>	<input type="text"/>	Independent Claims	<input type="text"/>	<input type="text" value="0"/>	<input type="text"/>	Multiple Dependent		<input type="text"/>	<input type="text" value="0"/>	<p style="text-align: center;">SUBTOTAL (2) <input type="text" value="(\$)"/></p> <p>*Reduced by Basic Filing Fee Paid SUBTOTAL (3) <input type="text" value="(\$ 240)"/></p>			
Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																																																				
105	130	205	65 Surcharge - late filing fee or oath	130																																																																																																																																																																																				
127	50	227	25 Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																					
139	130	139	130 Non-English specification																																																																																																																																																																																					
147	2,520	147	2,520 For filing a request for reexamination																																																																																																																																																																																					
112	920*	112	920* Requesting publication of SIR prior to Examiner action																																																																																																																																																																																					
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action																																																																																																																																																																																					
115	110	215	55 Extension for reply within first month	110																																																																																																																																																																																				
116	390	216	195 Extension for reply within second month																																																																																																																																																																																					
117	890	217	445 Extension for reply within third month																																																																																																																																																																																					
118	1,390	218	695 Extension for reply within fourth month																																																																																																																																																																																					
128	1,890	228	945 Extension for reply within fifth month																																																																																																																																																																																					
119	310	219	155 Notice of Appeal																																																																																																																																																																																					
120	310	220	155 Filing a brief in support of an appeal																																																																																																																																																																																					
121	270	221	135 Request for oral hearing																																																																																																																																																																																					
138	1,510	138	1,510 Petition to institute a public use proceeding																																																																																																																																																																																					
140	110	240	55 Petition to revive – unavoidable																																																																																																																																																																																					
141	1,240	241	620 Petition to revive – unintentional																																																																																																																																																																																					
142	1,240	242	620 Utility issue fee (or reissue)																																																																																																																																																																																					
143	440	243	220 Design issue fee																																																																																																																																																																																					
144	600	244	300 Plant issue fee																																																																																																																																																																																					
122	130	122	130 Petitions to the Commissioner																																																																																																																																																																																					
123	130	123	130 Petitions related to provisional applications																																																																																																																																																																																					
126	180	126	180 Submission of Information Disclosure Stmt																																																																																																																																																																																					
581	40	581	40 Recording each patent assignment per property (times number of properties)																																																																																																																																																																																					
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																					
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																					
179	710	279	355 Request for Continued Examination (RCE)																																																																																																																																																																																					
169	900	169	900 Request for expedited examination of a design application																																																																																																																																																																																					
SUBTOTAL (1) <input type="text" value="(\$ 0)"/>				Other fee (specify) _____																																																																																																																																																																																				
<p>2. EXTRA CLAIM FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Total Claims</th> <th style="text-align: left;">Extra Claims</th> <th style="text-align: left;">Fee from below</th> <th style="text-align: left;">Fee Paid</th> </tr> </thead> <tbody> <tr><td><input type="text"/></td><td><input type="text" value="0"/></td><td><input type="text"/></td><td><input type="text"/></td></tr> <tr> <td>Independent Claims</td> <td><input type="text"/></td> <td><input type="text" value="0"/></td> <td><input type="text"/></td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td><input type="text"/></td> <td><input type="text" value="0"/></td> </tr> </tbody> </table>				Total Claims	Extra Claims	Fee from below	Fee Paid	<input type="text"/>	<input type="text" value="0"/>	<input type="text"/>	<input type="text"/>	Independent Claims	<input type="text"/>	<input type="text" value="0"/>	<input type="text"/>	Multiple Dependent		<input type="text"/>	<input type="text" value="0"/>	<p style="text-align: center;">SUBTOTAL (2) <input type="text" value="(\$)"/></p> <p>*Reduced by Basic Filing Fee Paid SUBTOTAL (3) <input type="text" value="(\$ 240)"/></p>																																																																																																																																																																				
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																																					
<input type="text"/>	<input type="text" value="0"/>	<input type="text"/>	<input type="text"/>																																																																																																																																																																																					
Independent Claims	<input type="text"/>	<input type="text" value="0"/>	<input type="text"/>																																																																																																																																																																																					
Multiple Dependent		<input type="text"/>	<input type="text" value="0"/>																																																																																																																																																																																					

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	Paul A. Durdik, Esq.	Registration No. Attorney/Agent	37,819	Telephone	(650) 856-6500		
Signature				Date	August 23, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.